



County of Fresno

DEPARTMENT OF PUBLIC WORKS AND PLANNING
STEVEN E. WHITE, DIRECTOR

ADDENDUM NO. 1

Design Build Project: Clovis Regional and Reedley Libraries **RFQ No.:** PWP24-015

Date: 5/10/2024

This addendum revises the Request for Qualifications (RFQ) / Documents for the project listed above as stated below. Acknowledge this addendum. If you have given the RFQ and documents to someone else, please forward this addendum.

TABLE OF CONTENTS

No changes

NOTIFICATION OF REQUEST FOR QUALIFICATIONS (RFQ)

No changes

RFQ PREQUALIFICATION PROCEDURE

Completed Project Questions Evaluation (Part IV)

DELETE:

The Design-Build Entity should not fill in the answers for the questions of Part IV.

REPLACE with:

The Design-Build Entity should not fill in the answers for questions #1-5 below under "Completed Project Questions Evaluation (Part IV)."

ANTICIPATED SCHEDULE

No changes

PREQUALIFICATION QUESTIONNAIRE

Questions 1-30, 36-43, 52, 73, 74, 82, 88 and Required Documents 9-12 are updated in Bid Express. Refer to attached red line versions of the questionnaire showing the changes.

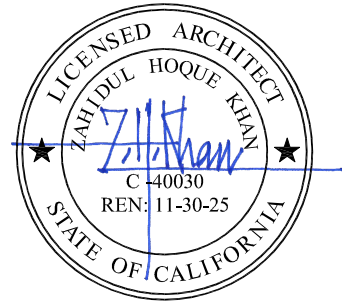
BRIDGING DOCUMENTS

No changes

END OF ADDENDUM NO. 1

May 10, 2024

Date Signed



Architect of Record: _____
Z. Hoque Khan, #C40030
License Renewal 11/30/25

**Fresno County Department of Public Works and Planning
Development Services & Capital Projects Division**
2220 Tulare Street, 8th Floor
Fresno, CA 93721-2104

Addendum Acknowledgement

Design-Build Entities must acknowledge all addenda. The Design-Build Entity acknowledges receipt of the following **ADDENDA**:

(Enter the number the Designer has assigned to each of the addenda that the Design-Build Entity is acknowledging)

Type N/A if no addenda were issued. Click "+" to add additional fields.

Addendum No.*	Dated*
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Part I - Subpart A

Part I - Information About the Design-Build Entity and Each Proposed Firm

The information provided in Subpart A-C may be released to the public.

Subpart A: Information About the Design-Build Entity

Design-Build Entity

1. Name*

2. Lead Person Contact*

3. Address*

City*	State*	Zip Code*
<hr/>	<hr/>	<hr/>

4. Phone Number*

5. Email Address*

Architect / Engineer

6. Name*

7. License Number*

8. Address*

City*

State*

Zip Code*

9. Phone Number*

10. Email Address*

Contractor

11. Name*

12. License Number*

13. Address*

City*

State*

Zip Code*

14. Phone Number*

15. Email Address*

16. Type of Entity*

Choices...

If Type of Entity is "Other Association", specify Type of Entity.

17. If the Design-Build Entity is a privately held corporation, partnership, limited liability company, or joint venture, list all of the shareholders, partners, or members known at the time of Prequalification Questionnaire submission who will perform work on the project.

18. Date of company formation or incorporation*

19. State of formation or incorporation*

20. Total number of current employees*

21. Address of office that will support the project*

City*

State*

Zip Code*

22. DIR Number*

23. If your organization is a joint venture, provide the following information for each member of the joint venture.

Joint Venture Member - To add more member listings, click the "+" to add additional fields.

Date of company formation or incorporation

State of formation or incorporation

Total number of current employees

Percentage of joint venture ownership

Part I - Subpart B

Optional: Vendor is not required to complete.

Subpart B: Information About the Architect / Engineer of the Design-Build Entity

Provide responses to #24-30 if responses are different from information about the Design-Build Entity provided above.

Architect / Engineer

24. Firm Name

25. Date of company formation or incorporation

26. State of formation of incorporation

27. Total number of current employees

28. Address

City

State

Zip Code

29. If your organization is a joint venture, provide the following information for each member of the joint venture.

Joint Venture Member - To add more member listings, click the "+" to add additional fields.

Date of company formation or incorporation

State of formation or incorporation

Total number of current employees

Percentage of joint venture ownership

30. If your organization is a corporation, list all officers; if your organization is a partnership, list all partners.

Part I - Subpart B Questions

Subpart B: Information About the Architect / Engineer of the Design-Build Entity

31. Has there been any change in ownership of the Architect/Engineer Member at any time during the last three (3) years?*

Choices...

NOTE: A corporation whose shares are publicly traded is not required to answer this question.

If "yes", please explain:

32. Has the Architectural / Engineering Member changed names or license numbers in the last five (5) years?*

Choices...

If "yes", please explain:

33. Has any corporate officer or owner of the Architect of Record's / Engineer's firm worked for any other architectural or engineering firms in the past five (5) years?*

Choices...

If "yes", please explain: (Include information about other firms if an owner, partner, or officer of your firm holds a similar position in another firm.)

34. Has the Architect of Record's or Engineer's firm changed names in the past five (5) years?*

Choices...

If "yes", please explain:

35. Is the Architect of Record's or Engineer's firm a subsidiary, parent, holding company or affiliate of another firm?*

Choices...

If "yes", please explain:

NOTE: Include information about other firms if one firm owns fifty percent (50%) or more of another, or if an owner, partner, or officer of your firm holds a similar position in another firm.

Part I - Subpart C

Optional: Vendor is not required to complete.

Subpart C: Information About the Contractor Member of the Design-Build Entity

Provide responses to #36-43 if responses are different from information about the Design-Build Entity provided above.

Contractor

36. Firm Name

37. Date of company formation or incorporation

38. State of formation or incorporation

39. Total number of current employees

40. Address

City

State

Zip Code

41. DIR Number

42. If your organization is a joint venture, provide the following information for each member of the joint venture.

Joint Venture Member - To add more member listings, click the "+" to add additional fields.

Date of company formation or incorporation

State of formation or incorporation

Total number of current employees

Percentage of joint venture ownership

~~43. If your organization is a corporation, list all officers; if your organization is a partnership, list all partners.~~

Part I - Subpart C Questions

Subpart C: Information About the Contractor Member of the Design-Build Entity

44. Has there been any change in ownership of the Contractor Member at any time during the last three (3) years?*

Choices...

NOTE: A corporation whose shares are publicly traded is not required to answer this question.

If "yes", please explain:

45. Is the Contractor Member a subsidiary, parent, holding company or affiliate of another firm?*

Choices...

NOTE: Include information about other firms if one firm owns 50 percent or more of another, or if an owner, partner, or officer of your firm holds a similar position in another firm.

If "yes", please explain:

46. List all California construction license numbers, classifications, and expiration dates of the California contractor licenses held by the Contractor Member.

Contractor License: To add more licenses, click the "+" to add additional fields.

Contractor License*	Classification*	Expiration Date*
<hr/>	<hr/>	<hr/>

47. If any of the Contractor Member's licenses are held in the name of a corporation or partnership, list below the names of the qualifying individuals listed on the CSLB records who meet the experience and examination requirements for each license.

Contractor License: To add more licenses, click the "+" to add additional fields.

Contractor License	Name of Qualifying Individual
_____	_____

48. Has the Contractor Member changed names or license numbers in the last five (5) years?*

Choices...

If "yes", please explain:

Part I - Subpart D

Subpart D: Bonding and Financial Information About The Design-Build Entity (or Contractor)

The following questions #49-54 will be considered private information and maintained as confidential to the fullest extent allowable by law.

49. Financial Information: Provide each company's Total Revenue, Net Income, Current Assets, Current Liabilities, Total Debt, and Total Net Worth for the past three (3) fiscal years. Attach the most current fiscal year data available on a separate document under "Required Documents."

50. Answer the following and attach documentation under "Required Documents" from your surety identifying the following:

Bonding Capacity

Bonding Co./Surety*

Surety Agent*

Agent Address*

City*

State*

Zip Code*

Phone Number*

Email Address*

Total Bonding
Capacity*

Total Available
Bonding Capacity*

\$

\$

51. List all other sureties (name and full address) that have written bonds for your firm during the last five (5) years, including the dates during which each wrote the bonds

Sureties: To add more surety listings, click the "+" to add additional fields.

Date

Surety

Address

City

State

Zip Code

~~52. Other Work Currently Under Contract: How many projects (include sq ft) are currently awarded to you?*~~

53. Quality Control Processes: Does your company have a written QA/QC program?*

Choices...

54. Provide the Contractor Member's experience modification rate for the most recent three-year period.

Attach a letter from your Workers' Compensation Carrier showing your experience modification rate for the past ten (10) years under "Required Documents."

Experience Modification Rate

Rate from Last Year*

Rate from Two Years Ago*

Rate from Three Years Ago*

If your experience modification rate for any of these three years was higher than 1.00, provide an explanation.

Part II - Subpart A

Part II - Essential Requirements

Subpart A: The Design-Build Entity Essential Requirements

NOTE: The Design-Build Entity will be automatically Disqualified if the answer to any of Questions 55 through 62 is "No."

55. Does the Design-Build Entity or Architect/Engineer Member have a professional liability insurance policy with a limit of at least \$3,000,000 per incident and \$5,000,000 aggregate?*

Choices...

56. Do all members of the Design-Build Entity have current workers' compensation insurance policies or are they legally self-insured pursuant to Labor Code section 3700 et. seq.?*

Choices...

NOTE: Workers' compensation insurance policies are required per the Labor Code.

57. Have all members of the Design-Build Entity provided their Total Revenue, Net Income, Current Assets, Current Liabilities, Total Debt, & Total Net Worth for the past (2) fiscal years?*

Choices...

NOTE: A financial statement that has not been reviewed or audited is not acceptable. A letter verifying availability of a line of credit is not a substitute for the required financial statement.

58. Has the Design-Build Entity or Architect/Engineer Member completed the design of a project California completed that is comparable to the listed Reference Comparison Projects?*

Choices...

59. Does the Design-Build Entity or Contractor Member have a commercial general liability insurance policy that follows necessary minimum requirements?*

Choices...

NOTE: The commercial general liability insurance policy must have a minimum policy limit as follows: for Contractor, at least \$5,000,000 per occurrence and \$5,000,000 aggregate.

60. Does/Will the Design-Build Entity/Contractor Member have workers' compensation insurance policies consistent with the requirements by the Labor Code?*

Choices...

NOTE: Design-Build Entity or Contractor Member must have current workers' compensation insurance policies or be legally self-insured pursuant to Labor Code section 3700 et. seq. prior to the commencing work.

If "yes", provide the following information:

Insured

Insurance Company

Policy Number

61. Has the Design-Build Entity or Contractor Member attached a notarized statement from a surety insurer admitted (approved by the California Department of Insurance) and authorized to issue bonds in the State of California, which states that the Contractor Member's current bonding capacity is sufficient to provide payment and performance bonds in the amount of 100% of the contract total for the Project for which pre-qualification is sought?

Choose an option:*

Choices...

NOTE: The notarized statement must be from the surety company, not from an agent or broker.

62. Has the Design-Build Entity or Contractor Member completed the construction of a project of the same Project Type in California?*

Choices...

NOTE: The Design-Build Entity will be automatically Disqualified if the answer to any of Questions 63 through 68 is "Yes."

63. Has the Design-Build Entity, Architect/Engineer Member, Contractor Member, or any of their owners, officers, or partners ever been found liable in a civil suit or found guilty in a criminal action for making any false claim or material misrepresentation to any public agency or entity?

Choose an option:*

Choices...

64. At any time during the last five (5) years, has the Design-Build Entity, Architect/Engineer Member, Contractor Member, or any of their owners or officers been convicted of a crime involving the awarding of a contract of a government construction project, or performance of a government contract?

Choose an option:*

Choices...

65. Has the Design-Build Entity/Architect/Engineer Member/Contractor Member or their owners/officers/partners ever been convicted of a crime related to design or construction?*

Choices...

Crimes include any federal, state, or local law related crimes.

66. Has the Design-Build Entity/Architect/Engineer Member/Contractor Member or their owners/officers/partners ever been convicted of a federal or state crime?*

Choices...

Federal or state crimes include fraud, theft, or any other act of dishonesty.

67. Has a surety firm completed a contract on behalf of the Design-Build Entity or Contractor Member or paid for completion because the firm was terminated for default by the project owner within the last five (5) years?

Choose an option:*

Choices...

68. At the time of submitting this Prequalification Questionnaire, is the Design-Build Entity or Contractor Member ineligible to bid on or be awarded a public works contract, or perform as a subcontractor on a public works contract, pursuant to either Labor Code section 1777.1 or Labor Code section 1777.7?

Choose an option:*

Choices...

Part II - Subpart B

Subpart B: Architect/Engineer Member Essential Requirements

NOTE: The Design-Build Entity will be automatically Disqualified if the answer to Question 69 is "No."

69. Does the Architect/Engineer Member possess a valid and current California professional license covering the design discipline for their Project role?*

Choices...

NOTE: The Design-Build Entity will be automatically Disqualified if the answer Question 70 is "Yes."

70. Has the Architect/Engineer Member's license been revoked or suspended at any time in the last five (5) years?*

Choices...

Part II - Subpart C

Subpart C: Contractor Essential Requirements

NOTE: The Design-Build Entity will be automatically Disqualified if the answer to Question 71 is "No."

71. Does the Contractor Member possess a valid, current California contractor's license covering the classification of work for which it intends to submit a proposal?*

Choices...

NOTE: The Design-Build Entity will be automatically Disqualified if the answer to Question 72 is "Yes."

72. Has any Contractor's license held by the Contractor Member, or its associates, been revoked or suspended at any time in the last five (5) years?*

Choices...

Part III - Subpart A

Part III - Scored Questions for Members of the Design-Build Entity

Subpart A: Scored Questions for the Design-Build Entity

~~73. How many individual facilities has the Design-Build Entity designed and built, that are similar in scope to the Reference Comparison Project, in California? (2 pts. per project up to a maximum of 10 pts.)~~

Number of Facilities*

~~74. How many Design-Build projects has the Design-Build Entity been involved with in the last ten (10) years? Include completed projects and projects that are currently in design or under construction. (2 pts. per project up to a maximum of 10 pts.)~~

Number of Design-Build Projects*

75. How many years has the Design-Build Entity, or each Member of a joint venture organization, been in business in California as a Contractor under its present business name and license number? (3-5 yrs. = 1 pt.; 6-14 yrs. = 3 pts.; 15 yrs. Plus = 5 pts.)

NOTE: If Contractor Member is a joint venture, add the years for each partner to the joint venture and enter the average of the combined experience

Years Under Present Business Name and License Number*

76. Is the Design-Build Entity or any party to the joint venture currently the debtor in a bankruptcy case? (Yes = 0 pts.; No = 5 pts.)*

Choices...

If "yes", identify the Bankruptcy Court and case number, and list the date on which the bankruptcy petition was filed.

Case Number Date Filed

77. Was the Design-Build Entity or any party to the joint venture in bankruptcy at any time during the last five (5) years? (Yes = 0 pts.; No = 5 pts.)*

Choices...

NOTE: This question refers only to a bankruptcy action that was not described in answer to Question 76, above.

If "yes", identify the Bankruptcy Court and case number, the date on which the bankruptcy petition was filed, and the Bankruptcy Court's Discharge Order Number.

Case Number Date Filed Discharge Number

78. In the last five (5) years has any claim in excess of \$30,000 been filed in court, arbitration, or mediation against the Design-Build Entity concerning its work on a project? (Yes = 0 pts.; No = 5 pts.)

Choose an option:*

Choices...

If "yes", identify the claim(s) by providing the project name, date of the claim, name of the claimant, a brief description of the nature of the claim, the court in which the case was filed, and a brief description of the status of the claim (pending or, if resolved, a brief description of the resolution).

Claims: To add more claim listings, click the "+" to add additional fields.

Project Name

Date of Claim

Claimant

Court

Nature & Status

79. In the last five (5) years has any insurance carrier, for any form of insurance, refused to renew the insurance policy for the Design-Build Entity? (Yes = 0 pts.; No = 5 pts.)

Choose an option:*

Choices...

If "yes", give name the insurance carrier, the form of insurance and the year of the refusal.

Insurance Carrier: To add more insurance carrier listings, click the "+" to add additional fields.

Carrier

Insurance Form

Refusal Year

80. At any time in the last five (5) years has the Design-Build Entity or any party to the joint venture been assessed and paid liquidated damages of more than the Liquidated Damages Amount after completion of a project under a construction contract with either a public or private owner? (Yes = 0 pts.; No = 5 pts.)

Choose an option:*

Choices...

If "yes", identify all such projects by owner, owner's address, completion date of the project, amount of liquidated damages assessed and all other information necessary to fully explain the assessment of liquidated damages.

Liquidated Damages: To add more project listings, click the "+" to add additional fields.

Owner

Address

City

State

Zip Code

Date of Completion

**Amount of
Liquidated
Damages**

\$

Other

81. In the last five (5) years has the Design-Build Entity, or any firm with which any of the Design-Build Entity's owners, officers, or partners were associated, been debarred, disqualified, removed, or otherwise prevented from bidding on, or completing, any government agency or public works project for any reason? (Yes = 0 pts.; No = 5 pts.)

NOTE: "Associated with" refers to another construction firm in which an owner, partner or officer of your firm held a similar position, and which is listed in response to Part I of this form.

Choose an option:*

Choices...

If "yes", identify the company, the person who was associated with that company, the year of the event, the owner of the project, the project, and the basis for the action.

Company

Person

Year

Owner

Project

Basis

Part III - Subpart B

Subpart B: Scored Questions for the Architect/Engineer Member

82. How many individual facilities has the ~~Design-Build Entity~~ designed and built, that are similar in scope to the Reference Comparison Project, in California? *(2 pts. per project up to a maximum of 10 pts.)*

Number of Facilities*

83. How many Design-Build projects has the Architect/Engineer Member been involved with in the last ten (10) years? Include completed projects and projects that are currently in design or under construction. *(2 pts. per project up to a maximum of 10 pts.)*

Number of Design-Build Projects*

84. How many years has the Architect/Engineer Member been in business in California as an Architect/Engineer under its present business name and license number? *(3-5 yrs. = 1 pt.; 6-14 yrs. = 3 pts.; 15 yrs. Plus = 5 pts.)*

NOTE: If the Architect/Engineer Member is joint venture, add the years for each partner to the joint venture and enter the average of the combined experience.

Years Under Business Name and License Number*

85. Is the Architect/Engineer Member currently the debtor in a bankruptcy case? (Yes = 0 pts.; No = 5 pts.)*

Choices...

If "yes", indicate the Bankruptcy Court and case number, and list the date on which the bankruptcy petition was filed.

Case Number

Date Filed

86. Was the Architect/Engineer Member in bankruptcy at any time during the last five (5) years? (Yes = 0 pts.; No = 5 pts.)*

Choices...

NOTE: This question refers only to a bankruptcy action that was not described in answer to Question 85 above.

If "yes", identify the Bankruptcy Court and case number, the date on which the bankruptcy petition was filed, and the bankruptcy court's Discharge Order Number.

Case Number	Date Filed	Discharge Number

87. In the last five (5) years has any insurance carrier, for any form of insurance, refused to renew the insurance policy for the Architect/Engineer Member? (Yes = 0 pts.; No = 5 pts.)

Choose an option:*

Choices...

If "yes", give name the insurance carrier, the form of insurance and the year of the refusal.

Insurance Carrier: To add more insurance carrier listings, click the "+" to add additional fields.

Carrier	Insurance Form
Refusal Year	

Part III - Subpart C

Subpart C: Scored Questions for the Contractor Member

~~88. How many individual facilities has the Design-Build Entity designed and built, that are similar in scope to the Reference Comparison Project, in California? (2 pts. per project up to a maximum of 10 pts.)~~

Number of Facilities*

89. How many years has the Contractor Member, or each Contractor Member of a joint venture organization, been in business in California as a Contractor under its present business name and license number? (3-5 yrs. = 1 pt.; 6-14 yrs. = 3 pts.; 15 yrs. Plus = 5 pts.)

NOTE: If Contractor Member is a joint venture, add the years for each partner to the joint venture and enter the average of the combined experience.

Years Under Business Name and License Number*

90. Is the Contractor Member or any party to the joint venture currently the debtor in a bankruptcy case? (Yes = 0 pts.; No = 5 pts.)*

Choices...

If "yes", identify the Bankruptcy Court and case number, and the date on which the bankruptcy petition was filed.

Case Number	Date Filed
-------------	------------

91. Was the Contractor Member or any party to the joint venture in bankruptcy at any time during the last five (5) years? (Yes = 0 pts.; No = 5 pts.)*

Choices...

NOTE: This question refers only to a bankruptcy action that was not described in the answer to Question 90 above.

If "yes", indicate the Bankruptcy Court and case number, the date on which the bankruptcy petition was filed, and the Bankruptcy Court's Discharge Order Number.

Case Number	Date Filed	Discharge Number
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92. At any time in the last five (5) years, has the Contractor Member or any party to the joint venture been assessed and paid liquidated damages of more than the Liquidated Damages Amount after completion of a project under a construction contract with either a public or private owner? (Yes = 0 pts.; No = 5 pts.)

Choose an option:*

Choices...

If "yes", identify all such projects by owner, owner's address, completion date of the project, amount of liquidated damages assessed, and all other information necessary to fully explain the assessment of liquidated damages.

Liquidated Damages: To add more listings, click the "+" to add additional fields.

Owner

Address

City

State

Zip Code

Date of Completion

**Amount of
Liquidated
Damages**

\$

Other

93. In the last five (5) years has the Contractor Member, or any firm with which any of the Contractor's owners, officers, or partners were associated, been debarred, disqualified, removed, or otherwise prevented from bidding on, or completing, any government agency or public works project for any reason? (Yes = 0 pts.; No = 5 pts.)

NOTE: "Associated with" refers to another construction firm in which an owner, partner or officer of your firm held a similar position, and which is listed in response to Part I of this form.

Choose an option:*

Choices...

If "yes", identify the company, the person who was associated with that company, the year of the event, the owner of the project, the project, and the basis for the action.

Company

Person

Year

Owner

Project

Basis

94. In the last five (5) years has the Contractor Member or its associates been denied an award of a public works contract based on a finding by a public agency that your company was not a responsible bidder? (Yes = 0 pts.; No = 5 pts.)

Choose an option:*

Choices...

If "yes", identify the year of the event, the owner, the project, and the basis for the finding by the public agency.

Year

Owner

Project

Basis

NOTE: The following two questions refer only to disputes between the Contractor Member and the owner of a project. You need not include information about disputes between the Contractor Member and a supplier, another contractor, or subcontractor. You need not include information about "pass-through" disputes in which the actual dispute is between a subcontractor and an owner.

95. In the last five (5) years has any claim in excess of \$30,000 been filed in court, arbitration or mediation against the Contractor Member concerning its work on a construction project? (Yes = 0 pts.; No = 5 pts.)

Choose an option:*

Choices...

If "yes", identify each claim(s) by providing the project name, date of the claim, name of the claimant, a brief description of the nature of the claim, the court in which the case was filed, and a brief description of the status of the claim (pending or, if resolved, a brief description of the resolution).

Claims: To add more claim listings, click the "+" to add additional fields.

Project

Date

Claimant

Court

Nature & Status

96. In the last five (5) years has any insurance carrier, for any form of insurance, refused to renew the insurance policy for the Contractor Member? (Yes = 0 pts.; No = 5 pts.)

Choose an option:*

Choices...

If "yes," for each such refusal, give the name of the insurance carrier, the form of insurance and the year of the refusal.

Insurance Carrier: To add more insurance carrier listings, click the "+" to add additional fields.

Carrier

Insurance Form

Refusal Year

97. Has the Contractor Member or party to the joint venture been required to pay a premium of more than one percent (1.00%) for a performance and payment bond on any project on which the Contractor Member worked at any time during the last three (3) years? (Yes = 0 pts.; No = 5 pts.)

Choose an option:*

Choices...

If "yes", state the year and the percentage that your firm was required to pay. You may provide an explanation for a percentage rate higher than one percent (1.00%), if you wish to do so.

NOTE: The score is based on highest single year.

Year

Rate

Explanation

98. During the last five (5) years, has your firm ever been denied bond coverage by a surety company, or has there ever been a period of time when your firm had no surety bond in place during a public construction project when one was required? (Yes = 0 pts.; No = 5 pts.)

Choose an option:*

Choices...

If "yes", indicate the date when your firm was denied coverage and the name of the company or companies that denied coverage and the period during which you had no surety bond in place.

Date

Surety

Duration Period

Explanation

99. Has CAL OSHA cited and assessed penalties against the Contractor Member or for any "serious," "willful" or "repeat" violations of its safety or health regulations in the last five (5) years? (Yes = 0 pts.; No = 5 pts.)

NOTE: If you have filed an appeal of a citation, and the Occupational Safety and Health Appeals Board has not yet ruled on your appeal, you need not include information about the specific citation that was the subject of your appeal.

Choose an option:*

Choices...

If "yes", describe each citation, including information about the date of each citation, the nature of the violation, the project on which the citation(s) was (or were) issued, and the amount of penalty paid, if any. With regard to any citation(s) that was (or were) the subject of an appeal to the Occupational Safety and Health Appeals Board and for which a decision has been issued, state the case number and the date of each such decision.

OSHA Citation: To add more citation listings, click the "+" to add additional fields.

Description

Date

Nature

Project

Amount

\$

100. Has the Federal Occupational Safety and Health Administration cited and assessed penalties against the Contractor Member in the last five (5) years? (Yes = 0 pts.; No = 5 pts.)

NOTE: If you have filed an appeal of a citation and the Appeals Board has not yet ruled on your appeal, or if there is a court appeal pending, you need not include information about the specific citation that was the subject of your appeal.

Choose an option:*

Choices...

If "yes," briefly describe the date of each such citation, the nature of the violation, the project on which the citation(s) was (or were) issued, and the amount of penalties paid.

Explanation

101. Has the EPA, any Air Quality Management District, or any Regional Water Quality Control Board cited and assessed penalties against either the Contractor Member or the owner of a project being constructed by the Contractor Member in the last five (5) years? (Yes = 0 pts.; No = 5 pts.)

NOTE: If you have filed an appeal of a citation and the Appeals Board has not yet ruled on your appeal, or if there is a court appeal pending, you need not include information about the citation.

Choose an option:*

Choices...

If "yes", briefly describe the date of each such citation, the nature of the violation, the project on which the citation(s) was (or were) issued, and the amount of penalties paid.

Explanation

102. Does the Contractor Member have personnel permanently assigned to safety? (Yes = 3 pts.; No = 0 pts.)*

Choices...

If "yes", state the names of all personnel who are assigned and list their specific duties. Upload a resume confirming their qualifications under "Required Documents."

If "no", select "Omission terms have been met" for "Safety Personnel" under "Required Documents."

Names and Duties

103. Provide the Contractor Member's average experience modification rate, for the most recent three-year period, based on the answers provided in Question 55. (5 pts. for .95 or less; 3 pts. if between .96 and 1.00; 0 pts. for any other answer.)

Average Experience Modification Rate*

104. Within the last five (5) years has there ever been a period when your firm had employees but was without workers' compensation insurance or state-approved self-insurance? (Yes = 0 pts.; No = 5 pts.)

Choose an option:*

Choices...

If "yes", list the year(s), specify the period(s) of time, and explain the reason for the absence of workers' compensation insurance.

Year

Period

Explanation

105. Has there been more than one occasion during the last five (5) years in which the Contractor Member was required to pay either back wages or penalties for the Contractor Member's failure to comply with the State's prevailing wage laws? (Yes = 0 pts.; No = 5 pts.)

NOTE: This question refers only to the Contractor Member's violation of prevailing wage laws, not to violations of the prevailing wage laws by a subcontractor.

Choose an option:*

Choices...

If "yes", describe the nature of each violation, identifying the name of the project, the date of its completion, the public agency for which it was constructed, the number of employees who were initially underpaid, and the amount of back wages and penalties that you were required to pay.

State Prevailing Wages: To add more violation listings, click the "+" to add additional fields.

Violation

Project

Completion

Agency

**Number of
Employees**

Amount

\$

106. During the last five (5) years, have there been any occasions in which the Contractor Member has been penalized or required to pay back wages for failure to comply with the Federal Davis-Bacon prevailing wage requirements? (Yes = 0 pts.; No = 5 pts.)

Choose an option:*

Choices...

If "yes", describe the nature of each violation, identifying the name of the project, the date of its completion, the public agency for which it was constructed; the number of employees who were initially underpaid, and the amount of back wages and penalties that you were required to pay.

Davis-Bacon Prevailing Wages: To add more violation listings, click the "+" to add additional fields.

Violation

Project

Completion

Agency

**Number of
Employees**

Amount

\$

107. At any time during the last five (5) years, has the Contractor Member been found to have violated any provision of California apprenticeship laws or regulations, or the laws pertaining to use of apprentices on public works? (Yes = 0 pts.; No = 5 pts.)

NOTE: You may omit reference to any incident that occurred if the violation was by a subcontractor and the Contractor Member, as Contractor on a project, had no knowledge of the subcontractor's violation at the time it occurred.

Choose an option:*

Choices...

If "yes", for each such violation, provide the date of such findings, describe the finding, and reference the issuing agency/department and identifying decision number.

Apprentices: To add more violation listings, click the "+" to add additional fields.

Date

Department

Decision Number

Finding

108. How many Design-Build projects has the Contractor Member been involved with in the last ten (10) years? Include completed projects and projects that are currently in design or under construction. (2 pts. per project up to a maximum of 10 pts.)

Number of Design-Build Projects*

109. Does the Contractor Member's company have a written Injury and Illness Prevention Program (IIPP) that complies with California Code of Regulations, Title 8 Sections 1509 and 3203? (Yes = 5 pts.; No = 0 pts.)

Choose an option:*

Choices...

110. Using last year's Cal-OSHA 200 Log, fill in the following number of injuries and illnesses (5 pts. for 0 on items a-c; 0 pts. for any other answer.)

a. Number of Lost Workdays*

b. Number of Medical Treatment Cases*

c. Number of Fatalities*

d. Employees hours worked last year*

Part IV

Part IV - Recent Construction Projects Completed

Design-Build Entity's Design-Build Experience

Describe your past experience working as a team with this Contractor Member and Architect/Engineer.

111. Has this Architect/Engineer and Contractor worked together as a team before?*

Choices...

112. If "yes", how many years of experience do they have working together?

113. If "yes", how many Design-Build projects has this Architecture/Engineer and Contractor worked on as a team (both currently in construction and completed projects)?*

114. If this team has worked together before, but not on any design-build projects, what types of project procurements have you worked on together? (Design-Bid-Build, Lease-Lease Back, etc.)*

Design-Bid-Build, Lease-Lease Back, etc.

115. The Contractor Member and the Architect/Engineer Member must **each** provide a listing of three (3) comparable projects **completed** within the past five (5) years as demonstration of relevant project experience. If the Contractor Member and Architect/Engineer Member have both worked on the same project, that project can be submitted as a Completed Project for both parties, and it will be scored for each Member. All six (6) Completed Projects will be scored.

At least one (1) project for both the Contractor and Architect/Engineer Member must be a Reference Comparison type project constructed in California.

Project Information: To add more projects, click the "+" to add additional fields.

Number this Completed Project as a Contractor or Architect/Engineer Project.*

Choices...

Project Name*

Project Size (sq ft)*

Site Size (sq ft)*

Owner (Firm/Entity Name)*

Project Location*

Description of the Project and the Scope of Work Performed*

Contract Amount*

\$

Did the project complete within the originally awarded budget under the terms of the contract?*

Choices...

If "no", explain:

Start Date*

Completion Date*

Did the project complete within the originally awarded schedule under the terms of the contract?*

Choices...

If "no", explain:

Was this a design-build project?*

Choices...

Ensure the following contact information is current and that the contact is prepared to respond to questions about your performance on the project.












Project Reference
Contact Name*

Project Reference
Contact Title*

Reference Phone
Number*

Project Reference
Email*

Required Document List

Name	Description	Omission Terms
1. Bonding Capacity	 Upload a scan of a notarized statement from a surety insurer. See "Required ...	
2. Financial Information - Past 3 Fiscal Years	 Upload each company's Total Revenue, Net Income, Current Assets, Current ...	
3. Workers' Compensation EMR Letter	 Upload a letter from your Workers' Compensation Carrier. See "Required Doc...	
4. Contractor Safety Personnel Resume	 Upload resumes confirming the qualifications of all personnel permanently as...	 No personnel are permanel
5. Organizational Documents or Agreement	 If the proposed Design-Build Entity is a corporation, limited liability company, ...	
6. Design-Build Entity Certification	 Upload signed certification. If the Design-Build Entity is a joint venture each m...	
7. Architect/Engineer Certification	 If the Design-Build Entity is a joint venture, upload signed certification.	 Design-Build Entity is not a
8. Contractor Certification	 If the Design-Build Entity is a joint venture, upload signed certification.	 Design-Build Entity is not a

~~8 Required Documents~~



Part I - Information about the Design-Build Entity and Each Proposed Firm

The information provided in Subpart A-C may be released to the public.

Subpart A: Information About the Design-Build Entity

1. Lead Person Contact*

2. Email Address*

3. Phone Number*

4. Address for RFQ Correspondence*

City*

State*

Zip Code*

5. In addition to the lead contact person, list any other email addresses to send RFQ-related emails.

Email Addresses

6. Type of Entity*

Choices...

If Type of Entity is "Other Association," specify Type of Entity.

Fill out questions 7 through 21.

If your organization is a corporation, partnership, sole proprietorship, or LLC, select "Design-Build Entity" under 8. Role.

If your organization is a joint venture or other association, questions 7-21 must be filled out for **EACH member** of the joint venture. Add a member listing for each member. The same question numbers (7-21) will be copied for each member listing.

Click "+" to add additional member listings.

7. Name of Firm*

8. Role*

Choices...

9. Address of Firm*

City*

State*

Zip Code*

10. Contact Person*

11. Contact Email Address*

12. Contact Phone*

13. Address of office that will support the project (if different from 9. Address of Firm)

City

State

Zip Code

14. License Number (if Architect or Engineer)

15. License Number (if Contractor)

16. DIR Number (if Contractor)

17. If your organization is a privately held corporation, partnership, limited liability company, or joint venture, list all of the owners, shareholders, partners, or members known at the time of Prequalification Questionnaire submission.

Owners, shareholders, partners, members, etc.*

18. Date of company formation or incorporation*

19. State of formation or incorporation*

20. Total number of current employees*

21. Percentage of joint venture ownership
(if applicable)

Questions #22-30 Not Used

Part I - Subpart B Questions

Subpart B: Information About the Architect / Engineer of the Design-Build Entity

31. Has there been any change in ownership of the Architect/Engineer Member at any time during the last three (3) years?*

Choices...

NOTE: A corporation whose shares are publicly traded is not required to answer this question.

If "yes", please explain:

32. Has the Architectural / Engineering Member changed names or license numbers in the last five (5) years?*

Choices...

If "yes", please explain:

33. Has any corporate officer or owner of the Architect of Record's / Engineer's firm worked for any other architectural or engineering firms in the past five (5) years?*

Choices...

If "yes", please explain: (Include information about other firms if an owner, partner, or officer of your firm holds a similar position in another firm.)

34. Has the Architect of Record's or Engineer's firm changed names in the past five (5) years?*

Choices...

If "yes", explain:

35. Is the Architect of Record's or Engineer's firm a subsidiary, parent, holding company or affiliate of another firm?*

Choices...

If "yes", please explain:

NOTE: Include information about other firms if one firm owns fifty percent (50%) or more of another, or if an owner, partner, or officer of your firm holds a similar position in another firm.

Part I - Subpart C Questions

Subpart C: Information About the Contractor Member of the Design-Build Entity

Questions #36-43 Not Used

44. Has there been any change in ownership of the Contractor Member at any time during the last three (3) years?*

Choices...

NOTE: A corporation whose shares are publicly traded is not required to answer this question.

If "yes", please explain:

45. Is the Contractor Member a subsidiary, parent, holding company or affiliate of another firm?*

Choices...

NOTE: Include information about other firms if one firm owns 50 percent or more of another, or if an owner, partner, or officer of your firm holds a similar position in another firm.

If "yes", please explain:

46. List all California construction license numbers, classifications, and expiration dates of the California contractor licenses held by the Contractor Member.

Contractor License: To add more licenses, click the "+" to add additional fields.

Contractor License*	Classification*	Expiration Date*
<input type="text"/>	<input type="text"/>	<input type="text"/>

47. If any of the Contractor Member's licenses are held in the name of a corporation or partnership, list below the names of the qualifying individuals listed on the CSLB records who meet the experience and examination requirements for each license.

Contractor License: To add more licenses, click the "+" to add additional fields.

Contractor License	Name of Qualifying Individual
<input type="text"/>	<input type="text"/>

48. Has the Contractor Member changed names or license numbers in the last five (5) years?*

Choices...

If "yes", please explain:

Part I - Subpart D

Subpart D: Bonding and Financial Information About The Design-Build Entity (or Contractor)

The following questions #49-54 will be considered private information and maintained as confidential to the fullest extent allowable by law.

49. Financial Information: Provide each company's Total Revenue, Net Income, Current Assets, Current Liabilities, Total Debt, and Total Net Worth for the past three (3) fiscal years. Attach the most current fiscal year data available on a separate document under "Required Documents."

50. Answer the following and attach documentation under "Required Documents" from your surety identifying the following:

Bonding Capacity		
Bonding Co./Surety*		Surety Agent*
<hr/>		<hr/>
Agent Address*		
<hr/>		
City*	State*	Zip Code*
<hr/>	<hr/>	<hr/>
Phone Number*	Email Address*	
<hr/>	<hr/>	
Total Bonding Capacity*	Total Available Bonding Capacity*	
\$	\$	
<hr/>	<hr/>	

51. List all other sureties (name and full address) that have written bonds for your firm during the last five (5) years, including the dates during which each wrote the bonds.

Sureties: To add more surety listings, click the "+" to add additional fields.	
Date	
<hr/>	
Surety	
<hr/>	

Address

City

State

Zip Code

52. Other Work Currently Under Contract: a. How many projects are currently awarded to you?*

b. How many total square feet?*

53. Quality Control Processes: Does your company have a written QA/QC program?*

Choices...

54. Provide the Contractor Member's experience modification rate for the most recent three-year period.

Attach a letter from your Workers' Compensation Carrier showing your experience modification rate for the past ten (10) years under "Required Documents."

Experience Modification Rate

Rate from Last Year*

Rate from Two Years Ago*

Rate from Three Years Ago*

If your experience modification rate for any of these three years was higher than 1.00, provide an explanation.

Part II - Subpart A

Part II - Essential Requirements

Subpart A: The Design-Build Entity Essential Requirements

NOTE: The Design-Build Entity will be automatically Disqualified if the answer to any of Questions 55 through 62 is “No.”

55. Does the Design-Build Entity or Architect/Engineer Member have a professional liability insurance policy with a limit of at least \$3,000,000 per incident and \$5,000,000 aggregate?*

Choices...

56. Do all members of the Design-Build Entity have current workers' compensation insurance policies or are they legally self-insured pursuant to Labor Code section 3700 et. seq.?*

Choices...

NOTE: Workers' compensation insurance policies are required per the Labor Code.

57. Have all members of the Design-Build Entity provided their Total Revenue, Net Income, Current Assets, Current Liabilities, Total Debt, & Total Net Worth for the past (2) fiscal years?*

Choices...

NOTE: A financial statement that has not been reviewed or audited is not acceptable. A letter verifying availability of a line of credit is not a substitute for the required financial statement.

58. Has the Design-Build Entity or Architect/Engineer Member completed the design of a project California completed that is comparable to the listed Reference Comparison Projects?*

Choices...

59. Does the Design-Build Entity or Contractor Member have a commercial general liability insurance policy that follows necessary minimum requirements?*

Choices...

NOTE: The commercial general liability insurance policy must have a minimum policy limit as follows: for Contractor, at least \$5,000,000 per occurrence and \$5,000,000 aggregate.

60. Does/Will the Design-Build Entity/Contractor Member have workers' compensation insurance policies consistent with the requirements by the Labor Code?*

Choices...

NOTE: Design-Build Entity or Contractor Member must have current workers' compensation insurance policies or be legally self-insured pursuant to Labor Code section 3700 et. seq. prior to the commencing work.

If "yes", provide the following information:

Insured

Insurance Company

Policy Number

61. Has the Design-Build Entity or Contractor Member attached a notarized statement from a surety insurer admitted (approved by the California Department of Insurance) and authorized to issue bonds in the State of California, which states that the Contractor Member's current bonding capacity is sufficient to provide payment and performance bonds in the amount of 100% of the contract total for the Project for which pre-qualification is sought?

Choose an option:*

Choices...

NOTE: The notarized statement must be from the surety company, not from an agent or broker.

62. Has the Design-Build Entity or Contractor Member completed the construction of a project of the same Project Type in California?*

Choices...

NOTE: The Design-Build Entity will be automatically Disqualified if the answer to any of Questions 63 through 68 is "Yes."

63. Has the Design-Build Entity, Architect/Engineer Member, Contractor Member, or any of their owners, officers, or partners ever been found liable in a civil suit or found guilty in a criminal action for making any false claim or material misrepresentation to any public agency or entity?

Choose an option:*

Choices...

64. At any time during the last five (5) years, has the Design-Build Entity, Architect/Engineer Member, Contractor Member, or any of their owners or officers been convicted of a crime involving the awarding of a contract of a government construction project, or performance of a government contract?

Choose an option:*

Choices...

65. Has the Design-Build Entity/Architect/Engineer Member/Contractor Member or their owners/officers/partners ever been convicted of a crime related to design or construction?*

Choices...

Crimes include any federal, state, or local law related crimes.

66. Has the Design-Build Entity/Architect/Engineer Member/Contractor Member or their owners/officers/partners ever been convicted of a federal or state crime?*

Choices...

Federal or state crimes include fraud, theft, or any other act of dishonesty.

67. Has a surety firm completed a contract on behalf of the Design-Build Entity or Contractor Member or paid for completion because the firm was terminated for default by the project owner within the last five (5) years?

Choose an option:*

Choices...

68. At the time of submitting this Prequalification Questionnaire, is the Design-Build Entity or Contractor Member ineligible to bid on or be awarded a public works contract, or perform as a subcontractor on a public works contract, pursuant to either Labor Code section 1777.1 or Labor Code section 1777.7?

Choose an option:*

Choices...

Part II - Subpart B

Subpart B: Architect/Engineer Member Essential Requirements

NOTE: The Design-Build Entity will be automatically Disqualified if the answer to Question 69 is "No."

69. Does the Architect/Engineer Member possess a valid and current California professional license covering the design discipline for their Project role?*

Choices...

NOTE: The Design-Build Entity will be automatically Disqualified if the answer Question 70 is "Yes."

70. Has the Architect/Engineer Member's license been revoked or suspended at any time in the last five (5) years?*

Choices...

Part II - Subpart C

Subpart C: Contractor Essential Requirements

NOTE: The Design-Build Entity will be automatically Disqualified if the answer to Question 71 is "No."

71. Does the Contractor Member possess a valid, current California contractor's license covering the classification of work for which it intends to submit a proposal?*

Choices...

NOTE: The Design-Build Entity will be automatically Disqualified if the answer to Question 72 is "Yes."

72. Has any Contractor's license held by the Contractor Member, or its associates, been revoked or suspended at any time in the last five (5) years?*

Choices...

Part III - Subpart A

Part III - Scored Questions for Members of the Design-Build Entity

Subpart A: Scored Questions for the Design-Build Entity

73. How many individual facilities has the Design-Build Entity, or have all members jointly, designed and built that are similar in scope to the Reference Comparison Project, in California? (2 pts. per project up to a maximum of 10 pts.)

Number of Facilities*

74. How many Design-Build projects has the Design-Build Entity, or have all members jointly, been involved with in the last ten (10) years? Include completed projects and projects that are currently in design or under construction. (2 pts. per project up to a maximum of 10 pts.)

Number of Design-Build Projects*

75. How many years has the Design-Build Entity, or each Member of a joint venture organization, been in business in California as a Contractor under its present business name and license number? (3-5 yrs. = 1 pt.; 6-14 yrs. = 3 pts.; 15 yrs. Plus = 5 pts.)

NOTE: If Contractor Member is a joint venture, add the years for each partner to the joint venture and enter the average of the combined experience

Years Under Present Business Name and License Number*

76. Is the Design-Build Entity or any party to the joint venture currently the debtor in a bankruptcy case? (Yes = 0 pts.; No = 5 pts.)*

Choices...

If "yes", identify the Bankruptcy Court and case number, and list the date on which the bankruptcy petition was filed.

Case Number

Date Filed

77. Was the Design-Build Entity or any party to the joint venture in bankruptcy at any time during the last five (5) years? (Yes = 0 pts.; No = 5 pts.)*

Choices...

NOTE: This question refers only to a bankruptcy action that was not described in answer to Question 76, above.

If "yes", identify the Bankruptcy Court and case number, the date on which the bankruptcy petition was filed, and the Bankruptcy Court's Discharge Order Number.

Case Number Date Filed Discharge Number

78. In the last five (5) years has any claim in excess of \$30,000 been filed in court, arbitration, or mediation against the Design-Build Entity concerning its work on a project? (Yes = 0 pts.; No = 5 pts.)

Choose an option:*

Choices...

If "yes", identify the claim(s) by providing the project name, date of the claim, name of the claimant, a brief description of the nature of the claim, the court in which the case was filed, and a brief description of the status of the claim (pending or, if resolved, a brief description of the resolution).

Claims: To add more claim listings, click the "+" to add additional fields.

Project Name

Date of Claim

Claimant

Court

Nature & Status

79. In the last five (5) years has any insurance carrier, for any form of insurance, refused to renew the insurance policy for the Design-Build Entity? (Yes = 0 pts.; No = 5 pts.)

Choose an option:*

Choices...

If "yes", give name the insurance carrier, the form of insurance and the year of the refusal.

Insurance Carrier: To add more insurance carrier listings, click the "+" to add additional fields.

Carrier

Insurance Form

Refusal Year

80. At any time in the last five (5) years has the Design-Build Entity or any party to the joint venture been assessed and paid liquidated damages of more than the Liquidated Damages Amount after completion of a project under a construction contract with either a public or private owner? (Yes = 0 pts.; No = 5 pts.)

Choose an option:*

Choices...

If "yes", identify all such projects by owner, owner's address, completion date of the project, amount of liquidated damages assessed and all other information necessary to fully explain the assessment of liquidated damages.

Liquidated Damages: To add more project listings, click the "+" to add additional fields.

Owner

Address

City

State

Zip Code

Date of Completion

Amount of
Liquidated
Damages

\$

Other

81. In the last five (5) years has the Design-Build Entity, or any firm with which any of the Design-Build Entity's owners, officers, or partners were associated, been debarred, disqualified, removed, or otherwise prevented from bidding on, or completing, any government agency or public works project for any reason? (Yes = 0 pts.; No = 5 pts.)

NOTE: "Associated with" refers to another construction firm in which an owner, partner or officer of your firm held a similar position, and which is listed in response to Part I of this form.

Choose an option:*

Choices...

If "yes", identify the company, the person who was associated with that company, the year of the event, the owner of the project, the project, and the basis for the action.

Company

Person

Year

Owner

Project

Basis

Part III - Subpart B

Subpart B: Scored Questions for the Architect/Engineer Member

82. How many individual facilities has the Architect/Engineer Member designed that are similar in scope to the Reference Comparison Project in California? (2 pts. per project up to a maximum of 10 pts.)

Number of Facilities*

83. How many Design-Build projects has the Architect/Engineer Member been involved with in the last ten (10) years? Include completed projects and projects that are currently in design or under construction. (2 pts. per project up to a maximum of 10 pts.)

Number of Design-Build Projects*

84. How many years has the Architect/Engineer Member been in business in California as an Architect/Engineer under its present business name and license number? (3-5 yrs. = 1 pt.; 6-14 yrs. = 3 pts.; 15 yrs. Plus = 5 pts.)

NOTE: If the Architect/Engineer Member is joint venture, add the years for each partner to the joint venture and enter the average of the combined experience.

Years Under Business Name and License Number*

85. Is the Architect/Engineer Member currently the debtor in a bankruptcy case? (Yes = 0 pts.; No = 5 pts.)*

Choices...

If "yes", indicate the Bankruptcy Court and case number, and list the date on which the bankruptcy petition was filed.

Case Number Date Filed

86. Was the Architect/Engineer Member in bankruptcy at any time during the last five (5) years? (Yes = 0 pts.; No = 5 pts.)*

Choices...

NOTE: This question refers only to a bankruptcy action that was not described in answer to Question 85 above.

If "yes", identify the Bankruptcy Court and case number, the date on which the bankruptcy petition was filed, and the bankruptcy court's Discharge Order Number.

Case Number Date Filed Discharge Number

87. In the last five (5) years has any insurance carrier, for any form of insurance, refused to renew the insurance policy for the Architect/Engineer Member? (Yes = 0 pts.; No = 5 pts.)

Choose an option:*

Choices...

If "yes", give name the insurance carrier, the form of insurance and the year of the refusal.

Insurance Carrier: To add more insurance carrier listings, click the "+" to add additional fields.

Carrier

Insurance Form

Refusal Year

Part III - Subpart C

Subpart C: Scored Questions for the Contractor Member

88. How many individual facilities has the Contractor Member built that are similar in scope to the Reference Comparison Project in California? (2 pts. per project up to a maximum of 10 pts.)

Number of Facilities*

89. How many years has the Contractor Member, or each Contractor Member of a joint venture organization, been in business in California as a Contractor under its present business name and license number? (3-5 yrs. = 1 pt.; 6-14 yrs. = 3 pts.; 15 yrs. Plus = 5 pts.)

NOTE: If Contractor Member is a joint venture, add the years for each partner to the joint venture and enter the average of the combined experience.

Years Under Business Name and License Number*

90. Is the Contractor Member or any party to the joint venture currently the debtor in a bankruptcy case? (Yes = 0 pts.; No = 5 pts.)*

Choices...

If "yes", identify the Bankruptcy Court and case number, and the date on which the bankruptcy petition was filed.

Case Number

Date Filed

91. Was the Contractor Member or any party to the joint venture in bankruptcy at any time during the last five (5) years? (Yes = 0 pts.; No = 5 pts.)*

Choices...

NOTE: This question refers only to a bankruptcy action that was not described in the answer to Question 90 above.

If "yes", indicate the Bankruptcy Court and case number, the date on which the bankruptcy petition was filed, and the Bankruptcy Court's Discharge Order Number.

Case Number

Date Filed

Discharge Number

92. At any time in the last five (5) years, has the Contractor Member or any party to the joint venture been assessed and paid liquidated damages of more than the Liquidated Damages Amount after completion of a project under a construction contract with either a public or private owner?

(Yes = 0 pts.; No = 5 pts.)

Choose an option*

Choices...

If "yes", identify all such projects by owner, owner's address, completion date of the project, amount of liquidated damages assessed, and all other information necessary to fully explain the assessment of liquidated damages.

Liquidated Damages: To add more listings, click the "+" to add additional fields.

Owner

Address

City

State

Zip Code

Date of Completion

**Amount of
Liquidated
Damages**

\$

Other

93. In the last five (5) years has the Contractor Member, or any firm with which any of the Contractor's owners, officers, or partners were associated, been debarred, disqualified, removed, or otherwise prevented from bidding on, or completing, any government agency or public works project for any reason? (Yes = 0 pts.; No = 5 pts.)

NOTE: "Associated with" refers to another construction firm in which an owner, partner or officer of your firm held a similar position, and which is listed in response to Part I of this form.

Choose an option*

Choices...

If "yes", identify the company, the person who was associated with that company, the year of the event, the owner of the project, the project, and the basis for the action.

Company

Person

Year

Owner

Project

Basis

94. In the last five (5) years has the Contractor Member or its associates been denied an award of a public works contract based on a finding by a public agency that your company was not a responsible bidder? (Yes = 0 pts.; No = 5 pts.)

Choose an option*

Choices...

If "yes", identify the year of the event, the owner, the project, and the basis for the finding by the public agency.

Year

Owner

Project

Basis

NOTE: The following two questions refer only to disputes between the Contractor Member and the owner of a project. You need not include information about disputes between the Contractor Member and a supplier, another contractor, or subcontractor. You need not include information about "pass-through" disputes in which the actual dispute is between a subcontractor and an owner.

95. In the last five (5) years has any claim in excess of \$30,000 been filed in court, arbitration or mediation against the Contractor Member concerning its work on a construction project? (Yes = 0 pts.; No = 5 pts.)

Choose an option*

Choices...

If "yes", identify each claim(s) by providing the project name, date of the claim, name of the claimant, a brief description of the nature of the claim, the court in which the case was filed, and a brief description of the status of the claim (pending or, if resolved, a brief description of the resolution).

Claims: To add more claim listings, click the "+" to add additional fields.

Project

Date

Claimant

Court

Nature & Status

96. In the last five (5) years has any insurance carrier, for any form of insurance, refused to renew the insurance policy for the Contractor Member? (Yes = 0 pts.; No = 5 pts.)

Choose an option*

Choices...

If "yes," for each such refusal, give the name of the insurance carrier, the form of insurance and the year of the refusal.

Insurance Carrier: To add more insurance carrier listings, click the "+" to add additional fields.

Carrier

Insurance Form

Refusal Year

97. Has the Contractor Member or party to the joint venture been required to pay a premium of more than one percent (1.00%) for a performance and payment bond on any project on which the Contractor Member worked at any time during the last three (3) years? (Yes = 0 pts.; No = 5 pts.)

Choose an option*

Choices...

If "yes", state the year and the percentage that your firm was required to pay. You may provide an explanation for a percentage rate higher than one percent (1.00%), if you wish to do so.

NOTE: The score is based on highest single year.

Year

Rate

Explanation

98. During the last five (5) years, has your firm ever been denied bond coverage by a surety company, or has there ever been a period of time when your firm had no surety bond in place during a public construction project when one was required? (Yes = 0 pts.; No = 5 pts.)

Choose an option*

Choices...

If "yes", indicate the date when your firm was denied coverage and the name of the company or companies that denied coverage and the period during which you had no surety bond in place.

Date	Surety	Duration Period

Explanation

99. Has CAL OSHA cited and assessed penalties against the Contractor Member or for any "serious," "willful" or "repeat" violations of its safety or health regulations in the last five (5) years? (Yes = 0 pts.; No = 5 pts.)

NOTE: If you have filed an appeal of a citation, and the Occupational Safety and Health Appeals Board has not yet ruled on your appeal, you need not include information about the specific citation that was the subject of your appeal.

Choose an option*

Choices...

If "yes", describe each citation, including information about the date of each citation, the nature of the violation, the project on which the citation(s) was (or were) issued, and the amount of penalty paid, if any. With regard to any citation(s) that was (or were) the subject of an appeal to the Occupational Safety and Health Appeals Board and for which a decision has been issued, state the case number and the date of each such decision.

OSHA Citation: To add more citation listings, click the "+" to add additional fields.

Description	Date
Nature	Project

Amount

\$

100. Has the Federal Occupational Safety and Health Administration cited and assessed penalties against the Contractor Member in the last five (5) years? (Yes = 0 pts.; No = 5 pts.)

NOTE: If you have filed an appeal of a citation and the Appeals Board has not yet ruled on your appeal, or if there is a court appeal pending, you need not include information about the specific citation that was the subject of your appeal.

Choose an option*

Choices...

If "yes," briefly describe the date of each such citation, the nature of the violation, the project on which the citation(s) was (or were) issued, and the amount of penalties paid.

Explanation

101. Has the EPA, any Air Quality Management District, or any Regional Water Quality Control Board cited and assessed penalties against either the Contractor Member or the owner of a project being constructed by the Contractor Member in the last five (5) years? (Yes = 0 pts.; No = 5 pts.)

NOTE: If you have filed an appeal of a citation and the Appeals Board has not yet ruled on your appeal, or if there is a court appeal pending, you need not include information about the citation.

Choose an option*

Choices...

If "yes", briefly describe the date of each such citation, the nature of the violation, the project on which the citation(s) was (or were) issued, and the amount of penalties paid.

Explanation

102. Does the Contractor Member have personnel permanently assigned to safety? (Yes = 3 pts.; No = 0 pts.)*

Choices...

If "yes", state the names of all personnel who are assigned and list their specific duties. Upload a resume confirming their qualifications under "Required Documents."

If "no", select "Omission terms have been met" for "Safety Personnel" under "Required Documents."

Names and Duties

103. Provide the Contractor Member's average experience modification rate, for the most recent three-year period, based on the answers provided in Question 55. (5 pts. for .95 or less; 3 pts. if between .96 and 1.00; 0 pts. for any other answer.)

Average Experience Modification Rate*

104. Within the last five (5) years has there ever been a period when your firm had employees but was without workers' compensation insurance or state-approved self-insurance? (Yes = 0 pts.; No = 5 pts.)

Choose an option*

Choices...

If "yes", list the year(s), specify the period(s) of time, and explain the reason for the absence of workers' compensation insurance.

Year

Period

Explanation

105. Has there been more than one occasion during the last five (5) years in which the Contractor Member was required to pay either back wages or penalties for the Contractor Member's failure to comply with the State's prevailing wage laws? (Yes = 0 pts.; No = 5 pts.)

NOTE: This question refers only to the Contractor Member's violation of prevailing wage laws, not to violations of the prevailing wage laws by a subcontractor.

Choose an option*

Choices...

If "yes", describe the nature of each violation, identifying the name of the project, the date of its completion, the public agency for which it was constructed, the number of employees who were initially underpaid, and the amount of back wages and penalties that you were required to pay.

State Prevailing Wages: To add more violation listings, click the "+" to add additional fields.

Violation

Project

Completion

Agency

**Number of
Employees**

Amount

\$

106. During the last five (5) years, have there been any occasions in which the Contractor Member has been penalized or required to pay back wages for failure to comply with the Federal Davis-Bacon prevailing wage requirements? (Yes = 0 pts.; No = 5 pts.)

Choose an option*

Choices...

If "yes", describe the nature of each violation, identifying the name of the project, the date of its completion, the public agency for which it was constructed; the number of employees who were initially underpaid, and the amount of back wages and penalties that you were required to pay.

Davis-Bacon Prevailing Wages: To add more violation listings, click the "+" to add additional fields.

Violation

Project

Completion

Agency

**Number of
Employees**

Amount

\$

107. At any time during the last five (5) years, has the Contractor Member been found to have violated any provision of California apprenticeship laws or regulations, or the laws pertaining to use of apprentices on public works? (Yes = 0 pts.; No = 5 pts.)

NOTE: You may omit reference to any incident that occurred if the violation was by a subcontractor and the Contractor Member, as Contractor on a project, had no knowledge of the subcontractor's violation at the time it occurred.

Choose an option*

Choices...

If "yes", for each such violation, provide the date of such findings, describe the finding, and reference the issuing agency/department and identifying decision number.

Apprentices: To add more violation listings, click the "+" to add additional fields.

Date	Department	Decision Number
_____	_____	_____
Finding		

108. How many Design-Build projects has the Contractor Member been involved with in the last ten (10) years? Include completed projects and projects that are currently in design or under construction. (2 pts. per project up to a maximum of 10 pts.)

Number of Design-Build Projects*

109. Does the Contractor Member's company have a written Injury and Illness Prevention Program (IIPP) that complies with California Code of Regulations, Title 8 Sections 1509 and 3203? (Yes = 5 pts.; No = 0 pts.)

Choose an option*

Choices...

110. Using last year's Cal-OSHA 200 Log, fill in the following number of injuries and illnesses (5 pts. for 0 on items a-c; 0 pts. for any other answer.):

a. Number of Lost Workdays*

b. Number of Medical Treatment Cases*

c. Number of Fatalities*

d. Employees hours worked last year*

Part IV - Recent Construction Projects Completed

Design-Build Entity's Design-Build Experience

Describe your past experience working as a team with this Contractor Member and Architect/Engineer.

111. Has this Architect/Engineer and Contractor worked together as a team before?*

Choices...

112. If "yes", how many years of experience do they have working together?

113. If "yes", how many Design-Build projects has this Architecture/Engineer and Contractor worked on as a team (both currently in construction and completed projects)?*

114. If this team has worked together before, but not on any design-build projects, what types of project procurements have you worked on together? (Design-Bid-Build, Lease-Lease Back, etc.)*

Design-Bid-Build, Lease-Lease Back, etc.

115. The Contractor Member and the Architect/Engineer Member must **each** provide a listing of three (3) comparable projects **completed** within the past five (5) years as demonstration of relevant project experience. If the Contractor Member and Architect/Engineer Member have both worked on the same project, that project can be submitted as a Completed Project for both parties, and it will be scored for each Member. All six (6) Completed Projects will be scored.

At least one (1) project for both the Contractor and Architect/Engineer Member must be a Reference Comparison type project constructed in California.

Project Information: To add more projects, click the "+" to add additional fields.

Number this Completed Project as a Contractor or Architect/Engineer Project.*

Choices...

Project Name*

Project Size (sq ft)*

Site Size (sq ft)*

Owner (Firm/Entity Name)*

Project Location*

Description of the Project and the Scope of Work Performed*

Contract Amount*

\$

Did the project complete within the originally awarded budget under the terms of the contract?*

Choices...

If "no", explain:

Start Date*

Completion Date*

Did the project complete within the originally awarded schedule under the terms of the contract?*

Choices...

If "no", explain:

Was this a design-build project?*

Choices...

Ensure the following contact information is current and that the contact is prepared to respond to questions about your performance on the project.

Project Reference Contact Name*

Project Reference Contact Title*

Reference Phone Number*

Project Reference Email*

Required Document List

Name	Description	Omission Terms
1. Bonding Capacity	→ Upload a scan of a notarized statement from a surety insurer. See "Required ...	
2. Financial Information - Past 3 Fiscal Years	→ Upload each company's Total Revenue, Net Income, Current Assets, Current ...	
3. Workers' Compensation EMR Letter	→ Upload a letter from your Workers' Compensation Carrier. See "Required Doc...	
4. Contractor Safety Personnel Resume	→ Upload resumes confirming the qualifications of all personnel permanently as...	→ No personnel are permanel
5. Organizational Documents or Agreement	→ If the proposed Design-Build Entity is a corporation, limited liability company, ...	
6. Design-Build Entity Certification	→ Upload signed certification. If the Design-Build Entity is a joint venture each m...	
7. Architect/Engineer Certification	→ If the Design-Build Entity is a joint venture, upload signed certification.	→ Design-Build Entity is not a
8. Contractor Certification	→ If the Design-Build Entity is a joint venture, upload signed certification.	→ Design-Build Entity is not a
9. Additional Documents (Use if needed)	→ 10MB of additional upload space. If uploaded documents are larger than 10M...	→ Extra space not needed.
10. Additional Documents (Use if needed)	→ 10MB of additional upload space. If uploaded documents are larger than 10M...	→ Extra space not needed.
11. Additional Documents (Use if needed)	→ 10MB of additional upload space. If uploaded documents are larger than 10M...	→ Extra space not needed.
12. Additional Documents (Use if needed)	→ 10MB of additional upload space. If uploaded documents are larger than 10M...	→ Extra space not needed.

12 Required Documents

